

[illegible]

A. What to file — All persons who receive copies of this survey must complete Part I of Form BE-82(A). All persons subject to the mandatory reporting requirement must also complete Part II of Form BE-82(A), and Parts I and II and the mandatory section(s) of the appropriate schedule(s) of Form BE-82(B). Persons who are exempt from the mandatory reporting requirement but who elect to report data voluntarily are asked to also complete Part II of Form BE-82(A), and Parts I and II and the voluntary section(s) of the appropriate schedule(s) of Form BE-82(B). Recipients of the survey that are exempt from mandatory reporting and do not wish to report data voluntarily must complete Part I and the Exemption Claim on Form BE-82(A). In order to facilitate survey processing, respondents should assemble together and file in a single packet Form BE-82(A) and all Forms BE-82(B) for their enterprise.

B. Number of copies — A single original copy of the survey (including Form BE-82(A) and, as appropriate, one or more Forms BE-82(B)) must be filed with BEA. Please use the copy with the address label if such a labeled copy has been provided. Companies that elect to file separate reports for their separately organized financial services subsidiaries or parts must file a separate original copy of Form BE-82(B) for each separate subsidiary or part. In addition, each U.S. Reporter must retain a copy of each report to facilitate resolution of problems.

C. Estimates — If actual figures are not available, report estimates and label them as such. When data items cannot be fully subdivided as required, report totals and an estimated breakdown of the totals.

D. Currency amounts — All currency amounts should be reported in U.S. dollars rounded to thousands (omitting 000). **EXAMPLE** — If the amount is \$1,000,000, report as \$1,000. Amounts less than \$500.00 round to "0" and should, therefore, not be reported.

Part II

1. Annual report for fiscal year ending

	Month		Day		Year			
20001	1				1	9	9	8

2. Industry classification — Enter the 3-digit code from the **Guide to Industry and Foreign Trade Classifications for International Surveys** (or from the more condensed "Summary of Industry Classifications") in which the U.S. Reporter's sales or gross operating revenues are largest.

- Where the consolidated U.S. enterprise is filing a single Form BE-82(B) — *Give the industry classification of the consolidated U.S. enterprise.*
- Where the consolidated U.S. enterprise is filing more than one Form BE-82(B) — *Give the industry classification of the subsidiary or part being reported on this Form BE-82(B).*

20002	1		
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3. Primary Employer Identification Number used by the U.S. Reporter named in Part I, item 1 of this Form BE-82(B).

20004	1		—							
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Remarks

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SCHEDULE A — Receipts of Fees and Commissions From Unaffiliated Foreign Persons for Financial Services

The mandatory section of Schedule A is **required** to be completed for each type of financial service listed below if total sales to unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries exceed \$5,000,000. The voluntary section is **requested** to be completed if total sales to unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries are \$5,000,000 or less.

Enter the number associated with the type of financial service, instead of the complete title of the service, in the column heading of Schedule A. See V. of the **Instructions** for complete descriptions of the types of financial services covered.

Enter the names of countries not on the preprinted list, as appropriate, in the left hand margin of Schedule A. Transactions with international organizations are considered to be with unaffiliated foreign persons; these may be shown opposite an abbreviated designation, "Int'l Org." Use additional copied sheets to list additional countries, as necessary.

Service number	Service
1	Brokerage, except foreign exchange brokerage services
2	Private placement services
3	Underwriting services
4	Financial management services
5	Credit-related services, except credit card services
6	Credit card services
7	Financial advisory and custody services
8	Securities lending services
9	Foreign exchange brokerage services
10	<p>Other financial services</p> <ul style="list-style-type: none"> • If you use this service number — Enter on the schedule the total amount of fees you received for all other financial services combined. See V. of the Instructions for examples of types of financial services to report under this service number. • If you reported data in the mandatory section of Schedule A under this service number — Enter a brief description of the primary type(s) of service(s) sold. ↴

Part II Continued										A1	
SCHEDULE A — Receipts of Fees and Commissions From Unaffiliated Foreign Persons for Financial Services											
RECEIPTS FROM		BEA USE ONLY		REPORT IN THOUSANDS OF U.S. DOLLARS							
				Service number:	Service number:	Service number:	Service number:	Service number:			
				(1)	(2)	(3)	(4)	(5)	(6)	(7)	
MANDATORY <i>Complete if total receipts of fees and commissions by the consolidated U.S. enterprise exceed \$5,000,000. Also complete question 21, as appropriate.</i>		A1000	1	2	3	4	5	6	7		
1. All countries, total		001	1	2	3	4	5	6	7		
2. Belgium		002	1	302	2	3	4	5	6	7	
3. Canada		003	1	100	2	3	4	5	6	7	
4. France		004	1	307	2	3	4	5	6	7	
5. Germany		005	1	308	2	3	4	5	6	7	
6. Hong Kong		006	1	611	2	3	4	5	6	7	
7. Italy		007	1	314	2	3	4	5	6	7	
8. Japan		008	1	614	2	3	4	5	6	7	
9. Netherlands		009	1	319	2	3	4	5	6	7	
10. Singapore		010	1	625	2	3	4	5	6	7	
11. United Kingdom		011	1	327	2	3	4	5	6	7	
Other — Specify <i>(Use additional copied sheets as necessary.)</i>			1	2	3	4	5	6	7		
12.		012	1	2	3	4	5	6	7		
13.		013	1	2	3	4	5	6	7		
14.		014	1	2	3	4	5	6	7		
15.		015	1	2	3	4	5	6	7		
16.		016	1	2	3	4	5	6	7		
17.		017	1	2	3	4	5	6	7		
18.		018	1	2	3	4	5	6	7		
19.		019	1	2	3	4	5	6	7		
VOLUNTARY <i>Complete if total receipts of fees and commissions by the consolidated U.S. enterprise are \$5,000,000 or less.</i>			NOTE — If total receipts for all financial services combined exceed \$5 million — You must complete the MANDATORY section above, and line 20 must be left blank.								
20. All countries, total		020	1	2	3	4	5	6	7		
MANDATORY		<ul style="list-style-type: none"> If you are subject to the survey's mandatory reporting requirement and you entered data under service number 1 — <i>Complete item 21.</i> If you are subject to the survey's mandatory reporting requirement and you entered data under service number 10 — <i>Describe the primary type(s) of service(s) in the space provided on page 3 of this form.</i> 									
21. If you reported data in the mandatory section of Schedule A under service number 1, brokerage, except foreign exchange brokerage services — <i>Indicate the approximate amount of the total for all countries combined that was for transactions in each of the following groups of financial products. (These estimates may be based upon the judgment of knowledgeable persons, without conducting a detailed records search.)</i>										Report in thousands of U.S. dollars (1)	
a. Long-term securities (stocks and bonds, including collateralized mortgage obligations, with no original maturity or with original maturity of more than 1 year)										021	1
b. Long-term and short-term derivative products (i.e., options, futures, swaps, forwards, and warrants)										022	1
c. Other (such as commercial paper and bankers acceptances)										023	1

SCHEDULE B — Payments of Fees and Commissions to Unaffiliated Foreign Persons for Financial Services

The mandatory section of Schedule B is **required** to be completed for each type of financial service listed below if total purchases from unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries exceed \$5,000,000. The voluntary section is **requested** to be completed if total purchases from unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries are \$5,000,000 or less.

Enter the number associated with the type of financial service, instead of the complete title of the service, in the column heading of Schedule B. See V. of the **Instructions** for complete descriptions of the types of financial services covered.

Enter the names of countries not on the preprinted list, as appropriate, in the left hand margin of Schedule B. Transactions with international organizations are considered to be with unaffiliated foreign persons; these may be shown opposite an abbreviated designation, "Int'l Org." Use additional copied sheets to list additional countries, as necessary.

Service number	Service
1	Brokerage, except foreign exchange brokerage services
2	Private placement services
3	Underwriting services
4	Financial management services
5	Credit-related services, except credit card services
6	Credit card services
7	Financial advisory and custody services
8	Securities lending services
9	Foreign exchange brokerage services
10	<p>Other financial services</p> <ul style="list-style-type: none"> • If you use this service number — Enter on the schedule the total amount of fees you paid for all other financial services combined. See V. of the Instructions for examples of types of financial services to report under this service number. • If you reported data in the mandatory section of Schedule B under this service number — Enter a brief description of the primary type(s) of service(s) purchased. ↗

**SCHEDULE B — Payments of Fees and Commissions to Unaffiliated Foreign
Persons for Financial Services**

PAYMENTS TO		BEA USE ONLY		REPORT IN THOUSANDS OF U.S. DOLLARS					
				Service number:	Service number:	Service number:	Service number:	Service number:	
				(1)	(2)	(3)	(4)	(5)	(6)
MANDATORY									
Complete if total payments of fees and commissions by the consolidated U.S. enterprise exceed \$5,000,000. Also complete question 21, as appropriate.	B1000								
1. All countries, total	001								
2. Belgium	002	1	302	2	3	4	5	6	7
3. Canada	003	1	100	2	3	4	5	6	7
4. France	004	1	307	2	3	4	5	6	7
5. Germany	005	1	308	2	3	4	5	6	7
6. Hong Kong	006	1	611	2	3	4	5	6	7
7. Italy	007	1	314	2	3	4	5	6	7
8. Japan	008	1	614	2	3	4	5	6	7
9. Netherlands	009	1	319	2	3	4	5	6	7
10. Singapore	010	1	625	2	3	4	5	6	7
11. United Kingdom	011	1	327	2	3	4	5	6	7
Other — Specify (Use additional copied sheets as necessary.)									
12.	012								
13.	013	1		2	3	4	5	6	7
14.	014	1		2	3	4	5	6	7
15.	015	1		2	3	4	5	6	7
16.	016	1		2	3	4	5	6	7
17.	017	1		2	3	4	5	6	7
18.	018	1		2	3	4	5	6	7
19.	019	1		2	3	4	5	6	7
VOLUNTARY									
Complete if total payments of fees and commissions by the consolidated U.S. enterprise are \$5,000,000 or less.									
20. All countries, total	020		709						
<p>NOTE — If total payments for all financial services combined exceed \$5 million — You must complete the MANDATORY section above, and line 20 must be left blank.</p>									
MANDATORY	<ul style="list-style-type: none"> If you are subject to the survey's mandatory reporting requirement and you entered data under service number 1 — <i>Complete item 21.</i> If you are subject to the survey's mandatory reporting requirement and you entered data under service number 10 — <i>Describe the primary type(s) of service(s) in the space provided on page 5 of this form.</i> 								
<p>21. If you reported data in the mandatory section of Schedule B under service number 1, brokerage, except foreign exchange brokerage services — <i>Indicate the approximate amount of the total for all countries combined that was for transactions in each of the following groups of financial products. (These estimates may be based upon the judgment of knowledgeable persons, without conducting a detailed records search.)</i></p>									<p align="center"><i>Report in thousands of U.S. dollars (1)</i></p>
<p>a. Long-term securities (stocks and bonds, including collateralized mortgage obligations, with no original maturity or with original maturity of more than 1 year)</p>									021
<p>b. Long-term and short-term derivative products (i.e., options, futures, swaps, forwards, and warrants)</p>									022
<p>c. Other (such as commercial paper and bankers acceptances)</p>									023